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Docket No. ORT-1575 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Carlos Plata-Salaman et al.  
Serial No. : 10/797,795 Art Unit: 1614  
Filed : March 10, 2004 Examiner: N/A  
For : CARBAMATE COMPOUNDS FOR USE IN PREVENTING OR  
TREATING NEURODEGENERATIVE DISORDERS

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June 25, 2004

(Date of Deposit)

Peter L. Herridge

(Name of applicant, assignee, or Registered Representative)

  
(Signature)

June 25, 2004

(Date of Signature)

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ORT-1575/ECC the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ORT-1575/ECC the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith, with the exception of US 6,103,759; US 3,265,728; US 3,278,380 and US 3,313,692, which were cited in US Patent Application Serial Number 10/081,764.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☒ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/ORT-1575CON/PLH. This form is submitted in triplicate.

Respectfully submitted,

  
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Peter L. Herridge, M.D.

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DATED: June 25, 2004



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/797,795
Filing Date	March 10, 2004
First Named Inventor	Carlos Plata-Salaman
Group Art Unit	
Examiner Name	
Attorney Docket Number	ORT-1575CON

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		US 6, 103, 759		Kim, Min Woo et al.	08-15-2000	
		US 3,265,728		Bossinger, Charles D, et al.	08-09-1966	
		US 3,278,380		Bossinger, Charles D, et al.	10-11-1966	
		US 3,313,692		Bossinger, Charles D., et al.	04-11-1967	
		US 6,541,513		Plata-Salaman et al.	04-01-2003	
		US 6,562,867		Plata-Salaman et al.	05-13-2003	
		US 6,589,985		Plata-Salaman et al.	07-08-2003	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				

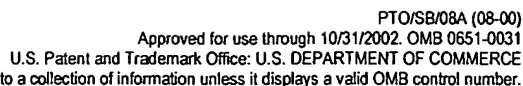
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

<b>Application Number</b>	10/797,795
<b>Filing Date</b>	March 10, 2004
<b>First Named Inventor</b>	Carlos Plata-Salaman
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	ORT-1575CON

[illegible]

Examiner Signature		Date Considered	
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1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

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# SUBMISSION UNDER MPEP 609 D

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Examiner Name	
Attorney Docket Number	ORT-1575CON

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	U.S. Patent Document		Pages, Columns, Lines, where relevant passages or relevant figures appear
			Number	Kind Code <sup>2</sup> (if known)	
		Ortho-McNeil Pharmaceutical, Inc.	USSN 09/906,251 Our docket ORT-1465		
		Ortho-McNeil Pharmaceutical, Inc.	USSN 10/081,943 Our docket ORT-1578		
		Ortho-McNeil Pharmaceutical, Inc.	USSN 10/081,606 Our docket ORT-1581		
		Ortho-McNeil Pharmaceutical, Inc.	USSN 10/081,713 Our docket ORT-1583		
		Ortho-McNeil Pharmaceutical, Inc.	USSN 10/193,600 Our docket ORT-1641		
		Ortho-McNeil Pharmaceutical, Inc.	USSN 10/192,973 Our docket ORT-1642		

## FOREIGN PATENT DOCUMENTS

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			Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		International PCT Search Report PCT/US02/05541 dated July 8, 2002	

Examiner Signature		Date Considered	
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